

# FINITE-STATE MACHINE OPTIMIZATION

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# Outline

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- Modeling synchronous circuits:
  - *State-based* models.
  - *Structural* models.
- State-based optimization methods:
  - State minimization.
  - State encoding.

# Synchronous Logic Circuits

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- Interconnection of:
  - Combinational logic gates.
  - Synchronous delay elements:
    - \* E-T or M-S registers.
- Assumptions:
  - No direct combinational feedback.
  - Single-phase clocking.

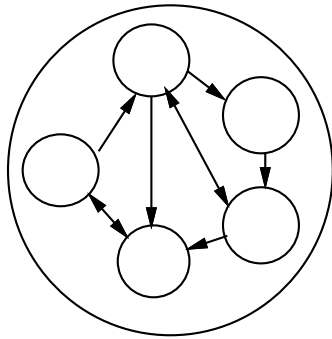
# Modeling synchronous circuits

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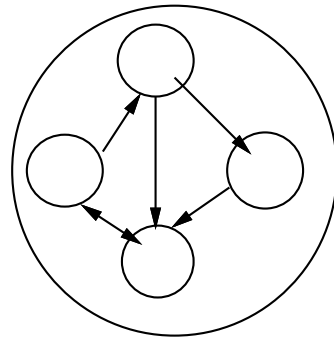
- *State-based* model:
  - Model circuits as *finite-state machines*.
  - Represent by *state tables/diagrams*.
  - Apply exact/heuristic algorithms for:
    - \* *State minimization*.
    - \* *State encoding*.
  
- *Structural* models:
  - Represent circuit by synchronous logic network.
  - Apply:
    - \* *Retiming*.
    - \* *Logic transformations*.

# State-based optimization

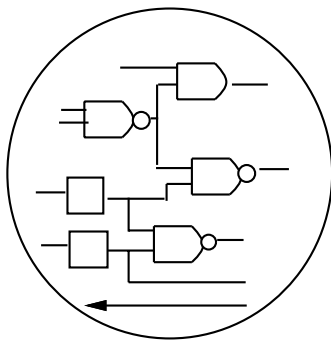
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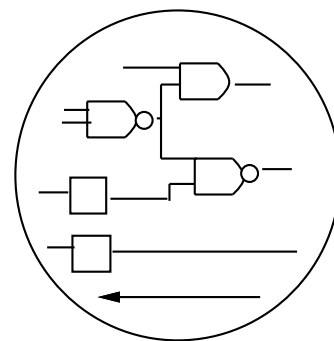
**FSM Specification**



**State Minimization**



**State Encoding**



**Combinational Optimization**

## Formal *finite-state machine* model

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- A set of primary inputs patterns  $X$ .
- A set of primary outputs patterns  $Y$ .
- A set of states  $S$ .
- A *state transition* function:
  - $\delta : X \times S \rightarrow S$ .
- An *output function*:
  - $\lambda : X \times S \rightarrow Y$  for *Mealy* models
  - $\lambda : S \rightarrow Y$  for *Moore* models.

## State minimization

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- Completely specified *finite-state machines* :
  - No *don't care* conditions.
  - Easy to solve.
  
- Incompletely specified *finite-state machines* :
  - Unspecified transitions and/or outputs.
  - Intractable problem.

# State minimization for completely specified FSMs

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- Equivalent states:
  - Given any input sequence the corresponding output sequences match.
- Theorem:
  - Two states are equivalent iff:
    - \* they lead to identical outputs and their next-states are equivalent.
- Equivalence is transitive:
  - Partition states into *equivalence classes*.
  - *Minimum finite-state machine is unique.*



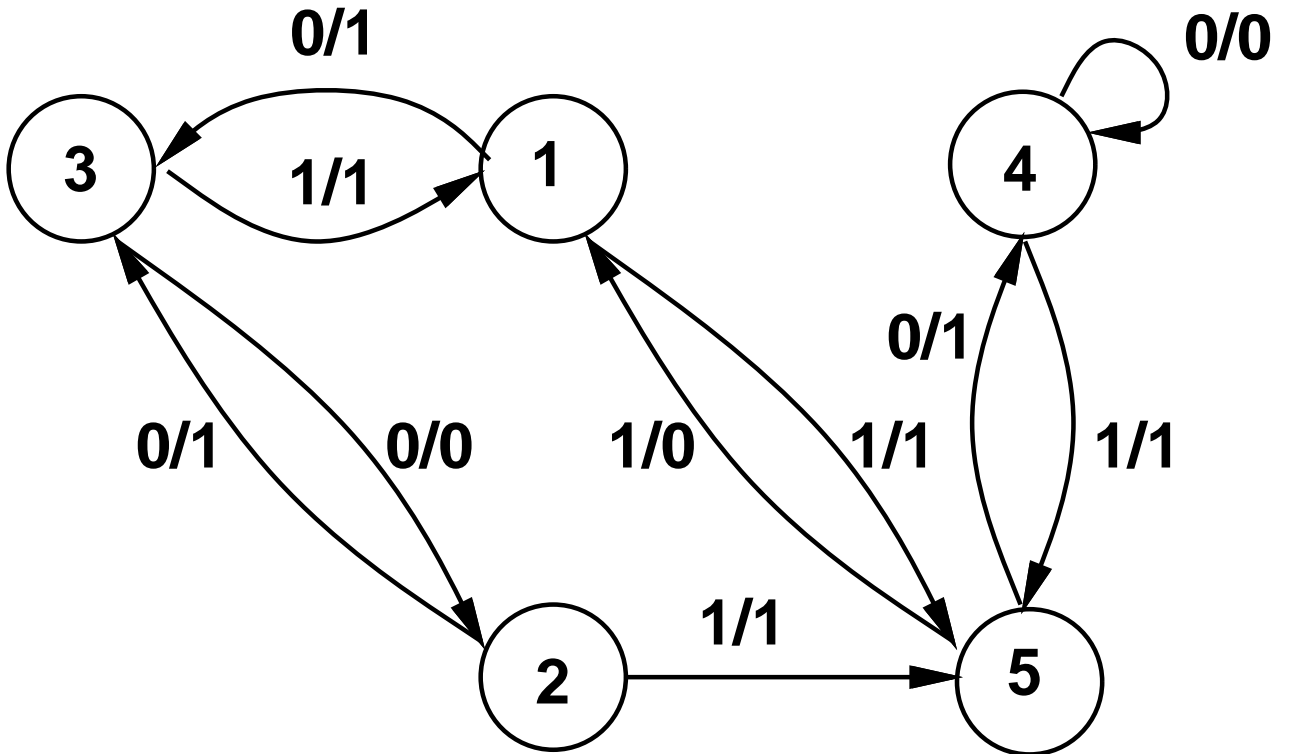
## Example

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INPUT	STATE	N-STATE	OUTPUT
0	$s_1$	$s_3$	1
1	$s_1$	$s_5$	1
0	$s_2$	$s_3$	1
1	$s_2$	$s_5$	1
0	$s_3$	$s_2$	0
1	$s_3$	$s_1$	1
0	$s_4$	$s_4$	0
1	$s_4$	$s_5$	1
0	$s_5$	$s_4$	1
1	$s_5$	$s_1$	0

# Example

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# Algorithm

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- Stepwise partition refinement.
- Initially:
  - All states in the same partition block.
- Then:
  - Refine partition blocks.
- At convergence:
  - Blocks identify equivalent states.

## Algorithm

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- $\Pi_1 =$  States belong to the same block when outputs are the same for any input.
- While further splitting is possible:
  - $\Pi_{k+1} =$  States belong to the same block if they were previously in the same block and their next-states are in the same block of  $\Pi_k$  for any input.

## Example

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- $\Pi_1 = \{\{s_1, s_2\}, \{s_3, s_4\}, \{s_5\}\}$ .
- $\Pi_2 = \{\{s_1, s_2\}, \{s_3\}, \{s_4\}, \{s_5\}\}$ .
- $\Pi_2 =$  is a partition into equivalence classes:
  - States  $\{s_1, s_2\}$  are equivalent.

**Example**  
**minimal** *finite-state machine*

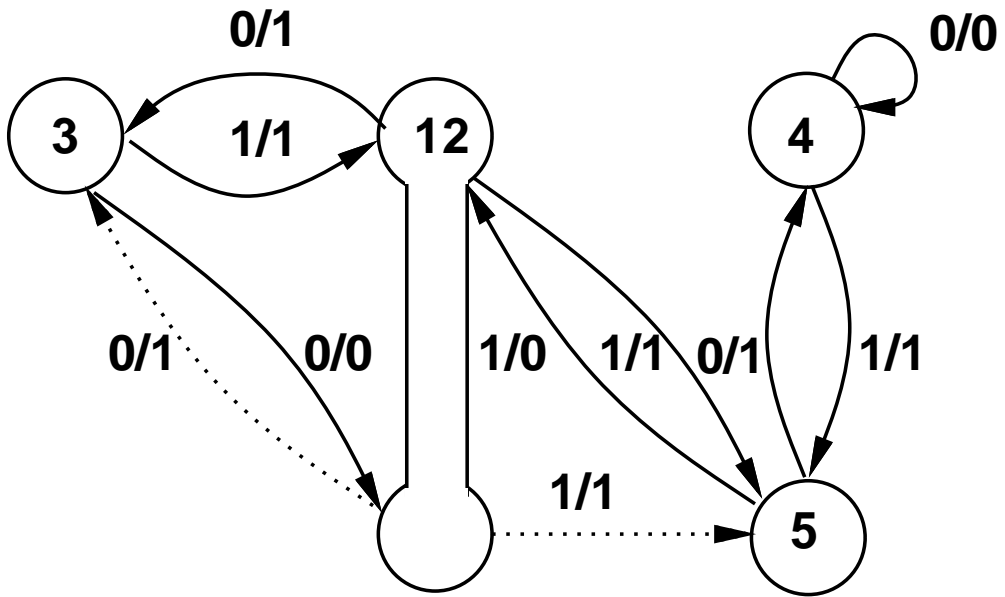
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INPUT	STATE	N-STATE	OUTPUT
0	$s_{12}$	$s_3$	1
1	$s_{12}$	$s_5$	1
0	$s_3$	$s_{12}$	0
1	$s_3$	$s_{12}$	1
0	$s_4$	$s_4$	0
1	$s_4$	$s_5$	1
0	$s_5$	$s_4$	1
1	$s_5$	$s_{12}$	0

# Example

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# Computational complexity

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- Polynomially-bound algorithm.
- There can be at most  $|S|$  partition refinements.
- Each refinement requires considering each state:
  - Complexity  $O(|S|^2)$ .
- Actual time may depend upon:
  - Data-structures.
  - Implementation details.



# State minimization for incompletely specified FSMs

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- *Applicable* input sequences:
  - All transitions are specified.
- *Compatible* states:
  - Given any applicable input sequence the corresponding output sequences match.
- Theorem:
  - Two states are compatible iff:
    - \* they lead to identical outputs
      - (when both are specified)
    - \* and their next-states are compatible
      - (when both are specified).

## State minimization for incompletely specified FSMs

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- Compatibility is not an *equivalency* relation.
- *Minimum finite-state machine* is not *unique*.
- Implication relations make problem intractable.

## Example

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INPUT	STATE	N-STATE	OUTPUT
0	$s_1$	$s_3$	1
1	$s_1$	$s_5$	*
0	$s_2$	$s_3$	*
1	$s_2$	$s_5$	1
0	$s_3$	$s_2$	0
1	$s_3$	$s_1$	1
0	$s_4$	$s_4$	0
1	$s_4$	$s_5$	1
0	$s_5$	$s_4$	1
1	$s_5$	$s_1$	0

## Trivial method for the sake of illustration

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- Consider all the possible *don't care* assignments
  - $n$  *don't care* imply
    - \*  $2^n$  completely specified FSMs.
    - \*  $2^n$  solutions.
- Example:
  - Replace \* by 1.
    - \*  $\Pi = \{\{s_1, s_2\}, \{s_3\}, \{s_4\}, \{s_5\}\}$ .
  - Replace \* by 0.
    - \*  $\Pi = \{\{s_1, s_5\}, \{s_2, s_3, s_4\}\}$ .

## Compatibility and implications Example

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- Compatible states  $\{s_1, s_2\}$ .
- If  $\{s_3, s_4\}$  are compatible:
  - then  $\{s_1, s_5\}$  are compatible.
- Incompatible states  $\{s_2, s_5\}$ .

# Compatibility and implications

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- Compatible pairs:

- $\{s_1, s_2\}$

- $\{s_1, s_5\} \Leftarrow \{s_3, s_4\}$

- $\{s_2, s_4\} \Leftarrow \{s_3, s_4\}$

- $\{s_2, s_3\} \Leftarrow \{s_1, s_5\}$

- $\{s_3, s_4\} \Leftarrow \{s_2, s_4\} \cup \{s_1, s_5\}$

- Incompatible pairs:

- $\{s_2, s_5\}, \quad \{s_3, s_5\}$

- $\{s_1, s_4\}, \quad \{s_4, s_5\}$

- $\{s_1, s_3\}$

# Compatibility and implications

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- A *class of compatible states* is such that all state pairs are compatible.
- A class is *maximal*:
  - If not subset of another class.
- Closure property:
  - A set of classes such that all compatibility implications are satisfied.
- The set of maximal compatibility classes:
  - Has the closure property.
  - May not provide a *minimum* solution.

## Maximal compatible classes

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- $\{s_1, s_2\}$
- $\{s_1, s_5\} \Leftarrow \{s_3, s_4\}$
- $\{s_2, s_3, s_4\} \Leftarrow \{s_1, s_5\}$
- Cover with MCC has cardinality 3.



## Formulation of the state minimization problem

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- A class is prime, if not subset of another class implying the same set or a subset of classes.
- Compute the prime compatibility classes.
- Select a minimum number of PCC such that:
  - all states are covered.
  - all implications are satisfied.
- *Binate covering problem.*

## Prime compatible classes

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- $\{s_1, s_2\}$
- $\{s_1, s_5\} \Leftarrow \{s_3, s_4\}$
- $\{s_2, s_3, s_4\} \Leftarrow \{s_1, s_5\}$
- Minimum cover:  $\{\{s_1, s_5\}, \{s_2, s_3, s_4\}\}$ .
- Minimum cover has cardinality 2.

## Heuristic algorithms

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- Approximate the covering problem.
  - Preserve closure property.
  - Sacrifice minimality.
- Consider all maximal compatibility classes.
  - May not yield minimum.

## State encoding

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- Determine a binary encoding of the states:
  - that optimize machine implementation:
    - \* area.
    - \* cycle-time.
- Modeling:
  - Two-level circuits.
  - Multiple-level circuits.

## Two-level circuit models

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- *Sum of product* representation.
  - PLA implementation.
- Area:
  - # of products  $\times$  # I/Os.
- Delay:
  - Twice # of products *plus* # I/Os.
- Note:
  - # products of a *minimum* implementation.
  - # I/Os depends on encoding length.

## State encoding for two-level models

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- Symbolic minimization of state table.
- Constrained encoding problems.
  - Exact and heuristic methods.
- Applicable to large *finite-state machines* .

## Symbolic minimization

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- Extension of two-level logic optimization.
- Reduce the number of rows of a table, that can have symbolic fields.
- Reduction exploits:
  - Combination of input symbols in the same field.
  - Covering of output symbols.

## State encoding of *finite-state machines*

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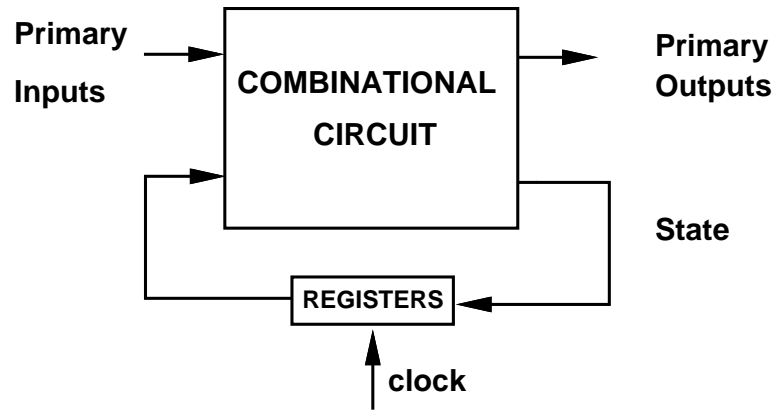
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- Given a (minimum) state table of a *finite-state machine* :
  - find a consistent encoding of the states
    - \* that preserves the cover minimality
    - \* with minimum number of bits.



# Example

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INPUT	P-STATE	N-STATE	OUTPUT
0	$s_1$	$s_3$	0
1	$s_1$	$s_3$	0
0	$s_2$	$s_3$	0
1	$s_2$	$s_1$	1
0	$s_3$	$s_5$	0
1	$s_3$	$s_4$	1
0	$s_4$	$s_2$	1
1	$s_4$	$s_3$	0
0	$s_5$	$s_2$	1
1	$s_5$	$s_5$	0

## Example

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- Minimum symbolic cover:

*	$s_1 s_2 s_4$	$s_3$	0
1	$s_2$	$s_1$	1
0	$s_4 s_5$	$s_2$	1
1	$s_3$	$s_4$	1

- Covering constraints:

–  $s_1$  and  $s_2$  cover  $s_3$

–  $s_5$  is covered by all other states.

- Encoding constraint matrices:

$$\mathbf{A} = \begin{bmatrix} 1 & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 \end{bmatrix} \quad \mathbf{B} = \begin{bmatrix} 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

## Example

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- Encoding matrix (one row per state):

$$\mathbf{E} = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 0 & 1 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

- Encoded cover of combinational component:

*	1**	001	0
1	101	111	1
0	*00	101	1
1	001	100	1

## Multiple-level circuit models

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- *Logic network* representation.
  - Logic gate interconnection.
- Area:
  - # of literals.
- Delay:
  - Critical path length.
- Note
  - # literals and CP in a *minimum* network.

## State encoding for multiple-level models

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- Cube-extraction heuristics [Mustang-Devadas].
- Rationale:
  - When two (or more) states have a transition to the same next-state:
    - \* Keep the distance of their encoding short.
    - \* Extract a large common cube.
- Exploit first stage of logic.
- Works fine because most FSM logic is shallow.

## Example

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- 5-state FSM (3-bits).
  - $s_1 \rightarrow s_3$  with input  $i$ .
  - $s_2 \rightarrow s_3$  with input  $i'$ .
- Encoding:
  - $s_1 \rightarrow 000 = a'b'c'$ .
  - $s_2 \rightarrow 001 = a'b'c$ .
- Transition:
  - $ia'b'c' + i'a'b'c = a'b'(ic + i'c')$
  - 6 literals instead of 8.

# Algorithm

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- Examine all state pairs:
  - Complete graph with  $|V| = |S|$ .
- Add weight on edges:
  - Model desired code proximity.
- Embed graph in the Boolean space.

## Difficulties

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- The number of *occurrences* of common factors depends on the next-state encoding.
- The extraction of common cubes interact with each other.



# Algorithm implementation

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- Fanout-oriented algorithm:
  - Consider present states and outputs.
  - Maximize the size of the most frequent common cubes.
  
- Fanin-oriented algorithm:
  - Consider next states and inputs.
  - Maximize the frequency of the largest common cubes.

## *Finite-state machine decomposition*

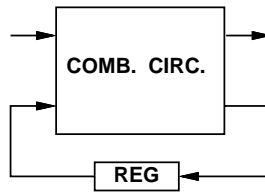
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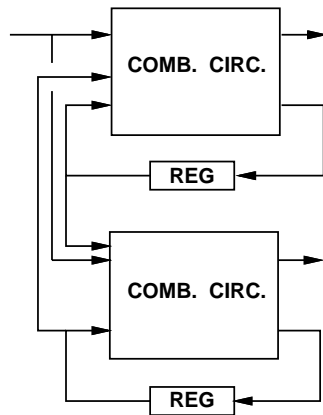
- Classic problem.
  - Based on partition theory.
  - Recently done at symbolic level.
- Different topologies:
  - Cascade, parallel, general.
- Recent heuristic algorithms:
  - Factorization [Devadas].

# Example

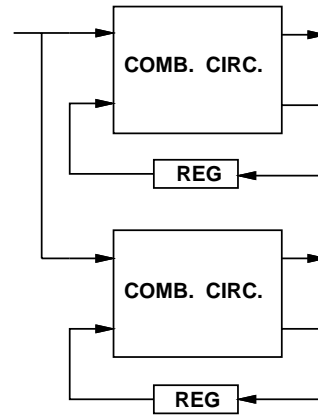
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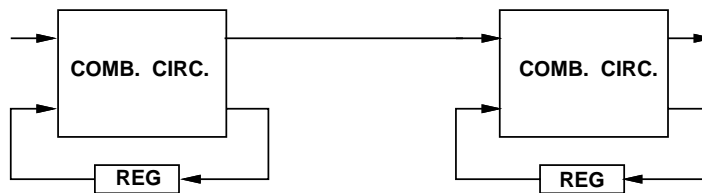
(a)



(b)



(c)



(d)

## Summary

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- *Finite-state machine* optimization is commonly used.
  - Large body of research.
- State reduction/encoding correlates well to area minimization.
- Performance-oriented methods are still being researched.